FORM PTO-1390 (REV. 12-2004) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
Not velt as signed 7 7 4

INTERNATIONAL APPLICATION NO. PCT/FR2004/050665

INTERNATIONAL FILING DATE December 8, 2004

PRIORITY DATE CLAIMED

December 30, 2003

ATTORNEY'S DOCKET NUMBER

PLAS-034

TITLE OF INVENTION

METHOD FOR PREPARING POLYORGANOSILOXANES (POS) BY RING(S)-OPENING POLYMERIZATION AND/OR POS REDISTRIBUTION IN THE PRESENCE OF CARBENE(S) AND POS COMPOUNDS PRODUCED BY SAID METHOD

APPLICANT(S) FOR DO/EO/US

Delphine BLANC-MAGNARD, Sébastien STERIN, Etienne FLEURY, Olivier BUISINE and Antoine BACEIREDO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. ☑ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- 2.

 This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. ☑ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. The US has been elected (Article 31).
- 5. ☑ A copy of the International Application as filed (35 (U.S.C. 371(c)(2))
 - a. \square is attached hereto (required only if not communicated by the International Bureau).
 - b. ☑ has been communicated by the International Bureau.
 - c. □ is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. ☑ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. M is attached hereto.
 - b. □ has been previously submitted under (35 U.S.C. 154(d)(4)).
- 7. ☑ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. \square are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. \square have not been made; however, the time limit for making such amendments has NOT expired.
 - d. A have not been made and will not be made.
- 8.

 An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- 9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- 11. ☑ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13.

 ☐ A preliminary amendment.
- 14. ☐ An Application Data Sheet under 37 CFR 1.76.
- 15. ☐ A substitute specification.
- 16. ☐ A power of attorney and/or change of address letter.
- 17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 1.825.
- 18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20.

 Other items or information:

IAPZOROS I. J. I. I. O 28 JUN 2005,

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U.S. APPLICATION NO. Not yat assigned 3 7 4 INTERNATIONAL APPLICATION NO. PCT/FR2004/050665					ATTORNEY'S DOCKET NUMBER PLAS-034		
The following fees	are submitted:						
21. 🗵 Basic National Stage fee				\$	300.00	_	
22. A National Stage Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100.00 International Search Report prepared and provided to the Office \$400.00 All other situations \$500.00					400.00		
23. National Stage Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)				\$	200.00		
TOTAL OF ABOVE CALCULATIONS =					900.00		
☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE				
41 - 100 =	/ 50 =		x \$250.00				
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			\$				
CLAIMS	NUMBER	NUMBER EXTRA	RATE	\$			
Total Claims	33 - 20 =	13	x \$ 50.00	\$	650.00		
Independent claims	5 - 3 =	2	x \$200.00	\$	400.00		
MULTIPLE DEPE	NDENT CLAIM	I(S) (if applicable)	+ \$360.00	\$			
TOTAL OF ABOVE CALCULATIONS =					1,950.00		
☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.							
			SUBTOTAL =	\$	1,950.00		
		rnishing the English translation later date (37 CFR 1.492(f)).	r than 30 months	\$			
		TOTAL	NATIONAL FEE =	\$	1,950.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			\$				
TOTAL FEES ENCLOSED =				\$	1,950.00		
					ount to be refunded:	\$	

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U.S. APPL Not yet a	ATION NO. INTERNATIONAL APPLICATION NO. SIGNED CONTROL OF THE PROPERTY OF THE	ATTORNEY'S DOCKET NUMBER PLAS-034							
		charged:	\$						
a. 🗆	A check in the amount of \$ to cover the above fees is enclosed.								
b. □	Please charge my Deposit Account No in the amount of \$ duplicate copy of this sheet is enclosed.	to cover th	e above fees. A						
	c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-1258</u> . A duplicate copy of this sheet is enclosed.								
d. ⊠	Fees are to be charged to a credit card. WARNING: Information on this form m information should not be included on this form. Provide credit card information	ay become public. C ation and authorization	Credit card on on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status									
SEND A	LL CORRESPONDENCE TO:	l. Lydn							
Custo	mer No. 32954 James C	. Lydon	<u></u>						
	30,082 REGISTRATIO	ON NUMBER							
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